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PATENT AND TRADEMARK OFFICE

## Applicants:

Grooms, James, et al.

U.S. Serial No.: 09/701,933

Filed: August 20, 2001

For: "CORTICAL BONE CERVICAL SMITH-  
ROBINSON FUSION IMPLANT"

Previous Group Art Unit: 3738

Examiner: Not Yet Known

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NOVEMBER 15, 2002

A handwritten signature in black ink, appearing to read "Donald J. Pochopien".

DONALD J.POCHOPEN  
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## INFORMATION DISCLOSURE STATEMENT

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TECHNOLOGY CENTER R3700

Assistant Commissioner for Patents

Washington, DC 20231

Sir:

Pursuant to 37 CFR §1.97(b)(3), Applicants wish to make of record the references cited on Form PTO 1449, copies enclosed, which may be considered material to the examination of the above-identified patent application. Two copies of PTO Form 1449, itemizing the disclosures are also enclosed.

Because this Information Disclosure Statement is being filed before the mailing date of a First Office Action on the merits, no fee is due.

However, if any fees are due, the Assistant Commissioner is authorized to charge any fees for the filing of this paper to the Deposit Account No. 13-0017 in the name of McAndrews, Held & Malloy, Ltd. A duplicate copy of this document is being

cofiled herewith. The Applicants wish to make of record the cited art as disclosed on the Form 1449 as attached hereto in duplicate.

Respectfully submitted,

**McANDREWS, HELD & MALLOY, LTD.**

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Dated: November 15, 2002